



COPY

#4

Patent
Docket No.: 54481US014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James F. Brennan, III, Diann A. Sloan,
Maureen T. Fahey, and James C. Novack

Serial No.: 09/960,174

Filed: September 21, 2001

For: ACCELERATED METHOD FOR
INCREASING THE PHOTSENSITIVITY
OF A GLASSY MATERIAL

Group Art
Unit: 1731

Examiner: Unknown

DUE DATE(S) 12-29-01

ATTORNEY NFH
DOCKETED pld.

COMPLETION OF FILING REQUIREMENTS

Commissioner for Patents
ATTENTION: Customer Service Center
Initial Patent Examination Division
Washington, D.C. 20231

This is in response to the Notice to File Missing Parts of Application 09/960,174, Filing Date Granted mailed on October 29, 2001. A copy of the Notice to File Missing Parts of Application 09/960,174 Filing Date Granted is enclosed.

The original declaration and oath was filed with application 09/616,117, filed July 14, 2000, and recorded on Reel 011021, Frame 0945. The above-identified application is a continuation of the original application by the inventors who executed the enclosed copy of their declaration for the original application 09/616,117. A copy of the Recordation is also enclosed for review.

Certificate of Transmission

I certify that this transmission is addressed to and facsimiled to the Commissioner for Patents,
Attention: Customer Service Center, Initial Patent Examination Division, Washington, D.C. 20231

Date:

12-7-01

Signature

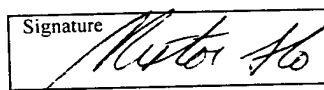
Sondra Barnett

No fee(s) is/are believed to be required for this submission. However, if the Applicants' are mistaken and a fee is required for the filing of the enclosed papers, the Commissioner may charge the fee(s) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723.

Please acknowledge receipt of this facsimile.

Respectfully submitted,

Registration Number	Telephone Number
39,460	512-984-7443
Date 12-06-01	

Signature 
Print Name Néstor F. Ho

3M Office of Intellectual Property Counsel
P.O. Box 33427
St. Paul, Minnesota 55133-3427



512 984 2020

12/06 '01 23:57 NO.916 04/11

Page 1 of 1

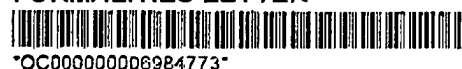
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,174	09/21/2001	James F. Brennan III	54481US014

CONFIRMATION NO. 4459

FORMALITIES LETTER



OC000000006984773

Office of Intellectual Property Counsel
3M Innovative Properties Company
PO Box 33427
St. Paul, MN 55133-3427

Date Mailed: 10/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

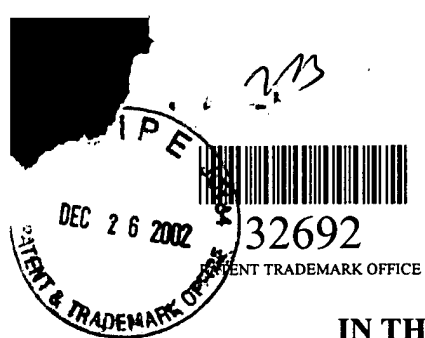
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703 308-1151

01/04/2002 BNGUYEN1 00000049 133723 09960174

01 FC:105 130.00 CH



MP #4


Patent
Case No.: 54481US014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: BRENNAN, JAMES F. III
Application No.: 09/960174 Group Art Unit: 1731
Filed: September 21, 2001 Examiner: Unknown
Title: ACCELERATED METHOD FOR INCREASING THE
PHOTOSENSITIVITY OF A GLASSY MATERIAL

STATUS INQUIRY

Commissioner for Patents
Washington, DC 20231

<u>CERTIFICATE OF MAILING</u>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:	
<u>December 17, 2002</u> Date	<u></u> Signed by: Tom Sanders

Dear Sir:

A request is made for the status of the above-identified application. Applicants enclose herewith a copy of the Completion of Filing Requirements and assignment, filed on December 7, 2001; and a copy of the declaration as filed in the prior application. No further communication has been received from the United States Patent and Trademark Office.

Respectfully submitted,

December 17, 2002

Date

By: 

Nestor F. Ho, Reg. No.: 39,460

Telephone No.: 512-984-7443

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

TRANSMISSION MESSAGE CONFIRMATION REPORT

NAME:
TEL : 512 984 2020
DATE: 12/07'01 00:00

TRANSMIT: *87033087751			DURATION	PAGE	SESS	RESULT
TYPE : MEMORY TX	MODE	E - 14	04'14	11	916	OK



**Office of Intellectual Property Counsel
3M Innovative Properties Company**

**3M Center, PO Box 33427
St. Paul, MN 55133-3427 USA**

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION MAY CONTAIN
CONFIDENTIAL OR LEGALLY PRIVILEGED INFORMATION INTENDED ONLY FOR THE
PERSON OR ENTITY NAMED BELOW.

If you are not the intended recipient, please do not read, use, disclose, distribute or copy this transmission.

If this transmission was received in error, please immediately notify me by telephone directly at
(512) 984-7443 or Sonda Barnett at (512) 984-6891, and we will arrange for its return at no cost to you.
Thank you.

FACSIMILE

Date: December 7, 2001
Number of pages
including cover sheet: 11

To:
**Commissioner of Patents
Attn: Customer Service Center
Washington, D.C. 20231**

Your Ref 09/960,174
Phone:
Fax No. 703-308-7751

From:
**NÉSTOR F. HO
3M AUSTIN CENTER
AUSTIN, TX 78726**

Our Ref: 54481US014
Phone: 512-984-7443
Fax No. 512-984-2020

Remarks: ☐ Urgent ☒ Acknowledge ☐ Reply ASAP ☐ Please Comment

Please process the following. Also, please acknowledge receipt of this transmission.

Thank you.